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## APPLICATION TO SURRENDER BRANCH REGISTRANT

**Application MUST be approved by the Board PRIOR to surrendering Branch Registrant.**

NAME OF BRANCH REGISTRANT:	
D/B/A NAME (if applicable):	
MAILING ADDRESS:	
PHYSICAL ADDRESS (if different from mailing address):	
BRANCH REGISTRANT'S E-MAIL ADDRESS:	BRANCH REGISTRANT'S TELEPHONE NUMBER:
FUNERAL ESTABLISHMENT LICENSE NUMBER (if applicable):	FEDERAL EMPLOYER IDENTIFICATION NUMBER:
BRANCH REGISTRANT'S FISCAL YEAR END DATE:	BRANCH REGISTRANT LICENSE NUMBER:
TYPE OF BUSINESS ENTITY (check the appropriate box):  Funeral Establishment      Cemetery Authority      Combination Funeral and Cemetery      Third-Party Seller	
TYPE OF ORGANIZATION (check the appropriate box):  Sole Proprietorship      Partnership      LLC      LLP      C Corp      S Corp	
NAME OF CERTIFICATE OF AUTHORITY UNDER WHICH THE BRANCH REGISTRANT IS REGISTERED:	
D/B/A NAME (if applicable):	
MAILING ADDRESS:	
PHYSICAL ADDRESS (if different from mailing address):	
CERTIFICATE OF AUTHORITY'S E-MAIL ADDRESS:	CERTIFICATE OF AUTHORITY'S TELEPHONE NUMBER:
FUNERAL ESTABLISHMENT LICENSE NUMBER (if applicable):	FEDERAL EMPLOYER IDENTIFICATION NUMBER:
CERTIFICATE OF AUTHORITY'S FISCAL YEAR END DATE:	CERTIFICATE OF AUTHORITY LICENSE NUMBER:

<p>1. ARE THERE ANY LOCATIONS UNDER THE SAME BUSINESS NAME AS THE BRANCH REGISTRANT BEING SURRENDERED?</p> <p style="text-align: center;">Yes                      No</p>	<p><b><u>IF YES</u></b>, ON A SEPARATE PAGE, LIST THE ADDRESS OF EACH LOCATION USING THE SAME BUSINESS NAME AND ATTACH IT TO THIS APPLICATION.</p>
<p>3. DOES THE BRANCH REGISTRANT USE A TRUST TO FUND PRENEED CONTRACTS?</p> <p style="text-align: center;">Yes                      No</p>	<p><b><u>IF YES</u></b>, ATTACH A COPY OF EACH TRUST AGREEMENT IN FORCE FOR THE CERTIFICATE OF AUTHORITY WITH THIS APPLICATION.</p>
<p>4. DOES THE BRANCH REGISTRANT USE AN INSURANCE COMPANY TO FUND PRENEED CONTRACTS?</p> <p style="text-align: center;">Yes                      No</p>	<p><b><u>IF YES</u></b>, ATTACH AN UP-TO-DATE POLICY DETAIL REPORT FROM THE INSURANCE COMPANY SHOWING THE NAME OF THE INSURANCE COMPANY, NAME OF THE INSURED, POLICY NUMBER, AND FACE AMOUNT OF POLICY.</p>
<p>5. DOES THE BRANCH REGISTRANT USE A SURETY BOND OR LETTER OF CREDIT TO FUND PRENEED CONTRACTS?</p> <p style="text-align: center;">Yes                      No</p>	<p><b><u>IF YES</u></b>, ATTACH A COPY OF EACH SURETY BOND AND LETTER OF CREDIT USED TO FUND PRENEED CONTRACTS WRITTEN UNDER THE BRANCH REGISTRANT BEING SURRENDERED.</p>
<p>5. HAS AN EXAMINATION BEEN CONDUCTED WITHIN THE LAST 12 MONTHS FOR THE BRANCH REGISTRANT?</p> <p style="text-align: center;">Yes                      No</p>	<p><b><u>IF YES</u></b>, WHAT WAS THE DATE OF THE LAST EXAMINATION?</p>
<p>5A. WERE ANY VIOLATIONS FOUND DURING THE LAST EXAMINATION?</p> <p style="text-align: center;">Yes                      No</p>	<p>5B. <b><u>IF YES</u></b>, WERE ANY FINES ISSUED FOR THE VIOLATIONS?</p> <p style="text-align: center;">Yes                      No                      NA</p>
<p>5C. <b><u>IF YES TO 5A AND/OR 5B</u></b>, HAVE THOSE VIOLATIONS BEEN ADDRESSED AND RESOLVED TO THE BOARDS SATISFACTION??</p> <p style="text-align: center;">Yes                      No                      NA</p>	<p><b><u>IF NO</u></b>, ON A SEPARATE PAGE, EXPLAIN WHY THE VIOLATIONS HAVE NOT BEEN RESOLVED TO THE BOARD'S SATISFACTION.</p>
<p>6. HAS THE ANNUAL REPORT FOR THE BRANCH REGISTRANT BEEN SUBMITTED AND APPROVED BY THE BOARD?</p> <p style="text-align: center;">Yes                      No</p>	<p><b><u>IF NO</u></b>, ON A SEPARATE PAGE, EXPLAIN.</p>
<p>7. THE FOLLOWING DOCUMENTATION MUST BE SUBMITTED WITH THIS APPLICATION:</p> <ul style="list-style-type: none"> <li>A. A COPY OF THE COMPLETE AND UP-TO-DATE PRENEED SALES LOG USED BY THE BRANCH REGISTRANT BEING SURRENDERED.</li> <li>B. A LIST OF NAMES OF EACH PRENEED CONTRACT PURCHASER ALONG WITH THE CONTRACT TOTAL OF EACH.</li> <li>C. A COPY OF EACH PRENEED CONTRACT VERSION USED BY THE BRANCH REGISTRANT BEING SURRENDERED.</li> <li>D. A PRENEED SALES AGENT CANCELLATION FORM TO CANCEL THE REGISTRATION OF THE PRENEED SALES AGENTS REGISTERED UNDER THE BRANCH REGISTRANT BEING SURRENDERED.</li> <li>E. A COPY OF THE BRANCH REGISTRANT LICENSE TO BE SURRENDERED.</li> </ul>	
<p>I, THE AUTHORIZED REPRESENTATIVE FOR THE BRANCH REGISTRANT BEING SURRENDERED, HEREBY AGREE TO AND ACKNOWLEDGE THE FOLLOWING STATEMENTS:</p> <ol style="list-style-type: none"> <li>1. SUBMISSION OF THIS APPLICATION IS CONSIDERED NOTICE TO THE BOARD OF THE INTENT OF THE BRANCH REGISTRANT TO SURRENDER IT'S BRANCH REGISTRANT LICENSE, AND UNDERSTAND THAT THIS APPLICATION MUST BE APPROVED BY THE BOARD PRIOR TO SURRENDERING THE LICENSE.</li> <li>2. THE EFFECTIVE DATE OF SURRENDER OF THE BRANCH REGISTRANT WILL BE THE DATE SIGNED BY THE BOARD AS SHOWN BELOW.</li> <li>3. AN ACCOUNTING SHOWING EACH AND EVERY PAYMENT RECEIVED BY THE BRANCH REGISTRANT FOR THE OUTSTANDING PRENEED CONTRACTS HAS BEEN SUBMITTED WITH THIS APPLICATION.</li> </ol>	

*(continued on next page)*

4. THE BRANCH REGISTRANT SHALL CEASE ALL PRENEED SALES TO THE PUBLIC FROM THE DATE THIS APPLICATION IS SUBMITTED TO THE BOARD. THE DATE OF THE LAST PRENEED CONTRACT SOLD BY THE BRACH REGISTRANT IS:
  
5. THE BRANCH REGISTRANT HAS COLLECTED AND DEPOSITED INTO TRUST ALL OF THE FUNDS PAID TOWARD PRENEED CONTRACTS SOLD PRIOR TO THE SUBMISSION OF THIS APPLICATION.
6. ANY TRUST FUNDS DEPOSITED INTO TRUST ON BEHALF OF THE BRANCH REGISTRANT BEING SURRENDERED WILL BE KEPT INTACT AND IN TRUST AFTER THE BRANCH REGISTRANT BECOMES INACTIVE, AND THE FUNDS IN THAT TRUST WILL BE DISBURSED IN ACCORDANCE WITH THE ALABAMA PRENEED FUNERAL AND CEMETERY ACT OF 2023 UNTIL THE FUNDS HAVE BEEN EXHAUSTED.
7. THE BOARD SHALL CONTINUE TO HAVE JURISDICTION OVER THE INACTIVE BRANCH REGISTRANT SO LONG AS THERE ARE FUNDS IN TRUST OR PRENEED CONTRACTS HAVE NOT BEEN FULFILLED.
8. THE BRANCH REGISTRANT WILL SUBMIT ANNUAL INACTIVE PRENEED REPORTS TO THE BOARD UNTIL ALL PRENEED CONTRACTS HAVE BEEN FULFILLED.
9. THE ALABAMA BOARD OF FUNERAL SERVICES SHALL REQUIRE REPORTS AND EXAMINE RECORDS AS THE BOARD DEEMS APPROPRIATE.
10. THE BOARD MAY IMPOSE FURTHER REQUIREMENTS IN ORDER FOR THE BRANCH REGISTRANT TO BE SURRENDERED. THE BOARD SHALL NOTIFY THE BRANCH REGISTRANT OF ANY ADDITIONAL REQUIREMENTS VIA E-MAIL, IF ANY.

BY SIGNING THIS APPLICATION, THE AUTHORIZED REPRESENTATIVE OF THE BRANCH REGISTRANT BEING SURRENDERED HEREBY CERTIFIES THAT HE OR SHE HAS COMPLIED WITH EACH OF THE ABOVE REQUIREMENTS, AND THAT THE INFORMATION PROVIDED IN THIS APPLICATION IS TRUE AND CORRECT TO THE BEST OF HIS OR HER KNOWLEDGE AND BELIEF. THE AUTHORIZED REPRESENTATIVE OF THE BRANCH REGISTRANT UNDERSTANDS THAT ANY PERSON WHO KNOWINGLY PRESENTS FALSE OR FRAUDULENT INFORMATION TO THE ALABAMA BOARD OF FUNERAL SERVICES OR IT'S REPRESENTATIVES, WILLFULLY FAILS TO MAKE TIMELY DEPOSITS TO TRUST, OR KNOWINGLY WITHDRAWS UNAUTHORIZED FUNDS OR ASSETS FROM A TRUST MAY BE GUILTY OF A FELONY UNDER ALABAMLAW AND SUBJECT TO RESTITUTION, FINES, LOSS OF ANY OR ALL CERTIFICATES OF AUTHORITY OR OTHER APPLICABLE LICENSES, PRISON, OR ANY COMBINATION THEREOF. THE AUTHORIZED REPRESENTATIVE OF THE BRANCH REGISTRANT BEING SURRENDERED ALSO CERTIFIES THAT HE OR SHE HAS THE RIGHT TO SIGN ON THE BRANCH REGISTRANT'S BEHALF.

NAME OF AUTHORIZED REPRESENTATIVE OF BRANCH REGISTRANT BEING SURRENDERED:

SIGNATURE OF AUTHORIZED REPRESENTATIVE OF BRANCH REGISTRANT BEING SURRENDERED:

DATE SUBMITTED TO THE BOARD:

**DATE OF SURRENDER OF BRANCH REGISTRANT:**

SIGNATURE OF EXECUTIVE DIRECTOR OF THE ALABAMA BOARD OF FUNERAL SERVICES: